#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No. : 10/716.248 Confirmation No. 5207

Applicant : David M. Tucker

 $\begin{array}{ccc} & & \& \mbox{ Charles R. Yemington} \\ \mbox{Filed} & : & 02/13/2003 \end{array}$ 

TC/AU : 2856 Examiner : David Rogers

Docket No. : 205-0034RI1 Customer No. : 29855

EFS Submission

Commissioner for Patents

United States Patent and Trademark Office

Washington, D.C. 20231

### PETITION UNDER 37 CFR § 1.183 TO ACCEPT A SUPPLEMENTAL REISSUE DECLARATION SIGNED BY FEWER THAN ALL OF THE INVENTORS

Dear Sirs:

Pursuant to 37 CFR § 1.183 and MPEP 1414.01(III), the Patent Owner petitions the USPTO to suspend the requirements of 37 CFR § 1.175, requiring a supplemental reissue declaration signed by joint inventor David M. Tucker.

As set forth in the attached Declaration, Mr. Tucker was formerly employed by the assignee of the instant reissue application and its parent patent. Mr. Tucker has advised his former employer that all contact with him should be made through his attorney, Mr. Wayne Clawater. The undersigned has established contact with Mr. Tucker's attorney (via e-mail), and provided a reissue declaration for Mr. Tucker's signature, together with copies of all relevant materials from the reissue prosecution not previously provided. Mr. Clawater responded, indicating that he would address the matter the next day. After not hearing from Mr. Tucker's attorney for over two weeks, the undersigned sent another e-mail to the same address. No response to this second communication was ever received, nor has any further communication been received from either Mr. Tucker or Mr. Clawater.

The lack of communication from Mr. Tucker or his attorney manifests an unwillingness on the part of Mr. Tucker to sign the supplemental reissue declaration pursuant to 37 CFR § 1.175. Therefore, Patentee submits this petition, together with a supplemental reissue declaration signed by the remaining inventor, Mr. Charles Yemington, in response to the Final Office Action mailed June

Serial No. 10/716.248 Petition Under 37 CFR § 1.183

21, 2010. Patentee requests that the Office grant this petition and allow the reissue to proceed to issuance.

The Commissioner is authorized to charge the Petition Fee of \$400.00 under 37 CFR 1.17 (f), as well as any other fees that may be due for consideration of this submission, or credit any overpayment to Deposit Account 50-1922.

Respectfully submitted,

By /Billy C. Allen III/

Date: August 4, 2010 Reg. No. 46,147 Wong, Cabello, Lutsch, Rutherford & Brucculeri, L.L.P. 20333 State Hwy 249, Suite 600 Houston, TX 77070 (832) 446-2400 wcpatent@counselip.com

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SUPPLEMENTAL DECLARATION

FOR REISSUE

PATENT APPLICATION
TO CORRECT "ERRORS" STATEMENT
(37 CFR 1.175)

Additional inventors or legal representatives(s) are being named on the

spond to a collection of intom	latton un	iess it contains a valid Civils control number	
Attorney Docket Number		205-0034USR	
First Named Inventor		Tucker, David M.	
COMPLETE if known			
Application Number	10/716,248		
Filing Date	November 17, 2003		
Art Unit	2856		
Evaminer Name	David A Bagara		

\_ supplemental sheets PTO/SB/02A or 02LR attached hereto.

#### I/We hereby declare that:

Every error in the patent which was corrected in the present reissue application, and which is not covered by the prior oath(s) and/or declaration(s) submitted in this application, arose without any deceptive intention on the part of the applicant.

#### WARNING:

Petitioner/applicant is cautioned to avoid submitting personal Information in documents filed in a patent application that may contribute to identify theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO is support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider reducing such personal information from the documents before submitting them to the USPTO. Petitionerapplicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

I/We hereby declare that all statements made herein of my/our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor	petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])	Family Name or Surname			
David M.	Tucker			
Inventor's Signature	Date			
Name of Second Inventor				
Given Name (first and middle [if any])	Family Name or Surname			
Charles R.	Yemington			
Inventor's Signature	Date			

This collection of information is required by 37 CFR 1.175. The information is required to obtain or retain a benefit by the public which is to file (and by the USFTO to process) an expected on Confidentiality is governed by 58 USE. 0.12 and 37 CFR. 1.11 and 1.14. This collection is estimated to take 18 minutes to complete, including againsting, preparing, and submitting the completed application form to the USFTO. Time will vary depending upon the individual cases of the completed application from the USFTO. Time will vary depending upon the individual case, Avy comments on the amount of time yet unequire to complete this from analysis supposed to the required this burdle, about to the Chief Information Officer, U.S. Petent and Trademark Office, U.S. Department of Commence, P.O. Box 1469, Alexandria, VA 22313-1460, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO'C Commission of For Patents, P.O. Box 1469, Alexandria, VA 22313-1460, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO'C Commission of For Patents, P.O. Box 1469, Alexandria, VA 22313-1460, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO'C Commissioner for Patents, P.O. Box 1469, Alexandria, VA 22313-1460, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO'C Commissioner for Patents, P.O. Box 1469, Alexandria, VA 22313-1460, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO'C Commissioner for Patents, P.O. Box 1469, Alexandria, VA 22313-1460, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO'C Commissioner for Patents, P.O. Box 1469, Alexandria, VA 22313-1460, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO'C Commissioner for Patents, P.O. Box 1469, Alexandria, VA 22313-1460, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO THE THIS ADDRESS. SEND TO THE THIS ADDRESS. SEND TO THIS ADDRESS. SEND TO THIS ADDRESS. SEND TO THIS ADDRE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMS control number.

# SUPPLEMENTAL DECLARATION FOR REISSUE PATENT APPLICATION TO CORRECT "ERRORS" STATEMENT (37 CFR 1.175)

Attorney Docket Number		205-0034USR	
First Named Inventor		Tucker, David M.	
C	OMPL	ETE if known	
Application Number	10/716,248		
Filing Date	November 17, 2003		
Art Unit	2856		
Examiner Name	David A Ropers		

#### I/We hereby declare that:

Every error in the patent which was corrected in the present reissue application, and which is not covered by the prior oath(s) and/or declaration(s) submitted in this application, arose without any deceptive intention on the part of the applicant.

#### WARNING:

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card authorization from PTO-2033 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.2134) is made in the application of susuance of a patent. Furthernore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization froms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

I/We hereby declare that all statements made herein of my/our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willfull false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor	A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])	Family Name or Surname			
David M.	Tucker			
Inventor's Signature	Date			
Name of Second Inventor	A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])	Family Name or Sumame			
Charles R.	Yerrington			
Inventor's Charles Tylen	rend Date 28 Mgy 2010			
Additional incompany or found representation (s) are being company on the supplemental sheets PTO/SR/02A or 92 R stached hereto				

This collection of information is required by 90°CFR 1.175. The information is required to obtain or results a burnelity by the spublic when its to file (and by the USPTO to proceed) an appellation. Conclusionately is governed by 35 U.S.C. 224 and 37 CFR 1.11 and 1.4. This collection is estimated to fise 1.8 minutes by complete, including pathwing, preparing, and subcriting the complete all policy of the USPTO. Time will vary depending upon the individual case Any comments on the amount of the rep you require to complete this form and/or suppositions for reducing this burden, should be sent to the Cheff armshall officer. U.S. Paeter and Trademark Office, U.S. Department of Commerce, P.C. Box: 1495, Ascandring, VA 22313-1495, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Commissioner for Paetership, P.O. Box: 1496, Ascandring, VA 22313-1495, VA 22313

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No. : 10/716.248 Confirmation No. 5207

Applicant : David M. Tucker

& Charles R. Yemington Filed : 02/13/2003

TC/AU : 2856 Examiner : David Rogers

Docket No. : 205-0034RI1
Customer No. : 29855

## DECLARATION OF BILLY C. ALLEN III IN SUPPORT OF PETITION UNDER 37 CFR § 1.183 TO ACCEPT A SUPPLEMENTAL REISSUE DECLARATON SIGNED BY FEWER THAN ALL OF THE INVENTORS

#### I, Billy C. Allen III, being duly sworn, depose and say:

- I am over the age of 18, a U.S. Citizen, have personal knowledge of the events set forth below, and am otherwise competent to make this Declaration. My mailing address is 20333 Tomball Parkway, Suite 600; Houston, Texas 77070.
- I am a licensed attorney in the state of Texas and am authorized to practice before the USPTO in patent matters. In about March, 2010, I assumed responsibility for the prosecution of the instant reissue application.
- 3. On or about May 24, 2010, I received a telephone call from Examiner Michael Day informing me that the case was in condition for allowance but for a newly executed supplemental reissue declaration, a/k/a "catch-up declaration." I informed Mr. Day that I would attempt to obtain such a declaration from the inventors, but advised him that neither inventor was currently an employee of my client and that I might have some difficulty contacting them.
- 4. On May 27, 2010, I prepared and sent an e-mail to Mr. Charles Yemington, inventor of the instant application, providing a supplemental reissue declaration and a copy of all pertinent materials from the reissue proceedings. Mr. Yemington returned an executed declaration to me on May 30, 2010, a copy of which is filed herewith.

Serial No. 10/716,248 Petition Under 37 CFR § 1.183

- 5. Also on or about May 27, 2010, I reviewed the file for contact information for the other inventor, Mr. David Tucker. In the file, I discovered the e-mail (attached as Exhibit A) from Mr. Pat McCollum (formerly in-house counsel for the assignee of the present invention) to Dr. Marilyn Huston (formerly of my firm and formerly the responsible for the instant matter) indicating that Mr. Tucker had previously refused to meet with any representatives of the assignee of the instant application and had directed that a previous supplemental declaration be forwarded to him through his attorney, Mr. Wayne Clawater.
- 6. Because Mr. Tucker is represented by counsel in connection with this matter, Texas Rule of Professional Conduct 4.02 and 37 CFR § 10.87 prohibit me from directly contacting Mr. Tucker. Therefore, on or about May 27, 2010, I sent an e-mail to Mr. Clawater (attached as Exhibit B) explaining the situation and including a supplemental declaration for Mr. Tucker and including a copy of the most recent claim amendments from the reissue, which had not previously provided to Mr. Tucker.
- Within two hours of my e-mail, I received a reply e-mail from Mr. Clawater (attached as Exhibit C) indicating that he was out of town and would address the matter the next day.
- 8. When I did not receive any further communications from Mr. Clawater after about one week, I left a message for Mr. Day, indicating that I had not yet been able to secure a declaration from Mr. Tucker. On June 15, 2010, I sent a further e-mail to Mr. Clawater inquiring whether he had yet addressed the matter with his client. Examiner David Rogers subsequently issued the Final Office Action requiring the supplemental declaration on June 21, 2010. As of the date of this declaration I have not received any further communication from Mr. Clawater nor have I received any communication from Mr. Tucker.
- Because I received a reply from Mr. Clawater to my initial e-mail, I know that he
  received my communication concerning this matter. Moreover, because I have not
  received any of: (1) an executed declaration, (2) consent of Mr. Clawater to contact Mr.

Serial No. 10/716,248 Petition Under 37 CFR § 1.183

Tucker directly, (3) questions about the supplemental declaration or reissue proceedings, or (4) an express refusal to provide the reissue declaration, I have

concluded that Mr. Tucker is refusing to sign the required reissue declaration.

10. Based on the foregoing, coupled with the facts that Mr. Yemington has executed the required supplemental declaration and that Mr. Tucker has previously executed declarations in connection with this reissue proceeding, I believe that this is an extraordinary situation in which justice requires waiver of the requirement that

supplemental reissue declarations be signed by all inventors.

11. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements are punishable under 18 U.S.C. § 1001 and may jeopardize the validity of the application,

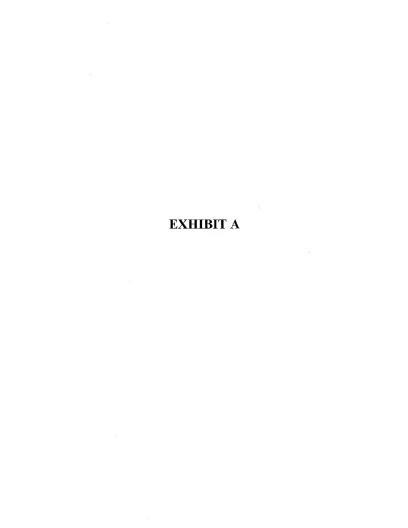
any patent issuing thereon, or any patent to which this declaration is directed.

Respectfully submitted,

By /Billy C. Allen III/ Reg. No. 46,147 Date: <u>August 4, 2010</u>

Wong, Cabello, Lutsch, Rutherford & Brucculeri, L.L.P. 20333 State Hwy 249, Suite 600 Houston, TX 77070

(832) 446-2400 wcpatent@counselip.com



#### Marilyn Huston

From: Mccollum, Pat [Pat.Mccollum@weatherford.com]

Sent: Friday, March 02, 2007 1:58 PM

To: Marilyn Huston

Subject: RE: supplemental oath.pdf

Wayne Claywater Cruse, Scott, Henderson & Allen, L.L.P.

2777 Allen Parkway

7<sup>th</sup> Floor

Houston, TX 77019-2133

Please send me a copy of any letter you send, I need to give a copy to Weatherford's litigation attorney so he can give a copy to Weatherford's outside counsel.

From: Mccollum, Pat

Sent: Friday, March 02, 2007 11:41 AM To: 'Marilyn Huston'

Subject: RE: supplemental oath.pdf

Yemington signed. Yemington wants a copy of the Declaration when fully executed (I have no idea why). Tucker refused to meet with anyone from Weatherford. He said to send the Declaration to his lawyer, Wayne Claywater. It sounds like Tucker's position is that under the SPA he had an obligation to execute papers such as this but since Weatherford breached the SPA, he no longer has any such obligation.

I suggest that you call Claywater, 713 650 6600, and explain what we need and send him (sorry I do not have the address) a blank Declaration for Tucker to sign. If Tucker will not sign hopefully we will get a letter from the lawyer so stating so we can use the letter in support of a petition.

I will deliver the Declaration signed by Yemington to Cabello when I see him on Tuesday.

From: Marilyn Huston [mailto:MHuston@Counselip.com]

Sent: Thursday, March 01, 2007 3:39 PM

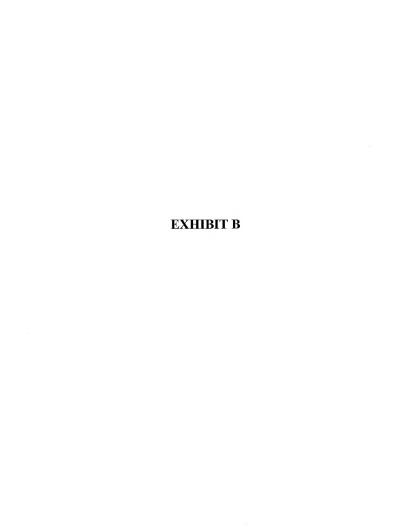
To: Mccollum, Pat

Subject: supplemental oath.pdf

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#### Billy Allen

From: Sent: To: Billy Allen

Thursday, May 27, 2010 10:44 AM

'wclawater@sschlaw.com'

Subject: Mr. David M. Tucker.-Supplemental Reissue Declaration for U.S. Patent 6,539,248
Attachments: Supplemental Declaration Form 2010-05-27.pdf; Amendment 2009-02-23.pdf

Mr. Clawater,

I represent Valkyrie Commissioning Services and its parent Weatherford International in various patent matters. Mr. Tucker, one of our former employees and inventors, has in the past directed us to contact him through you.

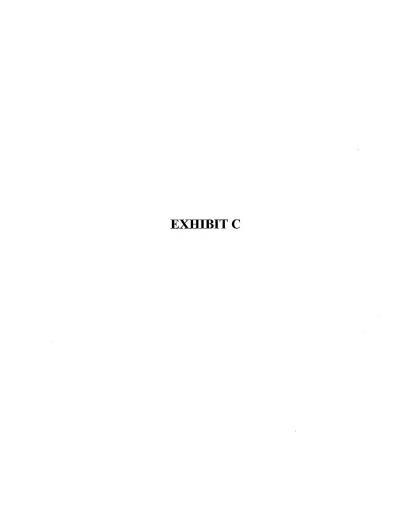
We are currently prosecuting a reexamination (serial number 10/716,248) of U.S. Patent 6,539,778, of which Mr. Tucker is an inventor. Our current posture before the PTO requires that we provide a supplemental declaration from Mr. Tucker attesting that the errors corrected in the reexamination occurred without deceptive intent.

You may recall discussing this matter with my former partner, Dr. Marilyn Houston, approximately three years ago and that Mr. Tucker provided a supplemental declaration at that time. However, supplemental declarations must be executed after all amendments to the patent, and we have made further amendments since Mr. Tucker's prior declaration.

Therefore, I have attached a new supplemental declaration (a standard PTO form) and a copy of our most recent amendment, which cumulatively shows all changes made to the claims of patent. I would very much appreciate your passing this on to your client for signature and returning it to me at your earliest convenience. A faxed or scanned copy is sufficient. The PTO will likely set a two-month deadline for filing this declaration in the next few days.

Thank you for your assistance, and please do not hesitate to contact me if you have any questions or concerns.

Billy C. Allen III Attorney at Law Wong, Cabello, Lutsch, Rutherford & Brucculeri, LLP 20333 State Hwy 249, Ste 600 Houston, Texas 77070 832-446-2409 (direct) 832-446-2424 (fax)



#### Billy Allen

From:

Wayne Clawater [WClawater@sschlaw.com]

Sent: Thursday, May 27, 2010 11:49 AM To:

Billy Allen

Subject: Re: Mr. David M. Tucker--Supplemental Reissue Declaration for U.S. Patent 6,539,248

I am in Dallas today and will address. This tomorrow.

Sent from my BlackBerry Wireless Handheld

----Original Message----

From: Billy Allen <BAllen@Counselip.com>

To: Wayne Clawater

Sent: Thu May 27 10:43:43 2010

Subject: Mr. David M. Tucker--Supplemental Reissue Declaration for U.S. Patent 6,539,248

Mr. Clawater,

I represent Valkyrie Commissioning Services and its parent Weatherford International in various patent matters. Mr. Tucker, one of our former employees and inventors, has in the past directed us to contact him through you.

We are currently prosecuting a reexamination (serial number 10/716,248) of U.S. Patent 6,539,778, of which Mr. Tucker is an inventor. Our current posture before the PTO requires that we provide a supplemental declaration from Mr. Tucker attesting that the errors corrected in the reexamination occurred without deceptive intent.

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Thank you for your assistance, and please do not hesitate to contact me if you have any questions or concerns.

Billy C. Allen III Attorney at Law Wong, Cabello, Lutsch, Rutherford & Brucculeri, LLP 20333 State Hwy 249, Ste 600 Houston, Texas 77070 832-446-2409 (direct) 832-446-2424 (fax)

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